

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of  
Xin Jin, et al

ORGANIC CHARGE TRANSPORTING  
POLYMERS INCLUDING CHARGE  
TRANSPORT MOIETIES AND SILANE  
GROUPS, AND  
SILSESQUOXANE COMPOSITIONS  
PREPARED THEREFROM

Serial No. 10/615,089  
Filed 08 July 2003

Group Art Unit: 1756  
Examiner: Janis L. Dote

I hereby certify that this correspondence is being deposited today  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT FOR  
CONSIDERATION BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99**

Enclosed herewith are patents and/or publications for consideration  
by the Patent and Trademark Office in regard to the invention claimed in the  
above-described application. In compliance with §1.56, such documents are  
listed in the enclosed Form EKC-1449.


Applicants request that the Patent and Trademark Office make of  
record the above-identified documents. A full text copy of each document is  
attached, except for copies of U.S. patents and U.S. patent application  
publications. For documents not in English, an English translation or an  
equivalent English language patent or publication may be attached. Where a  
translation is not available, a concise explanation of the relevance of each  
document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is  
submitted according to the following selected paragraph:

- I. ☐ This Statement is being filed under §1.97(b) within three months of  
the filing date of the application (other than a CPA), or before the  
mailing of a first Office action on the merits or before the mailing of a  
first Office action after the filing of a request for continued  
examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the  
mailing date of any of a final action, a notice of allowance or an action  
that otherwise closes prosecution in the application. Please charge the  
fee required by §1.17(p) to Eastman Kodak Company Deposit  
Account 05-0225. A duplicate copy of this Certification is enclosed.

- III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):
- ☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.
- IV. ☒ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Account 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):
- ☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☒ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

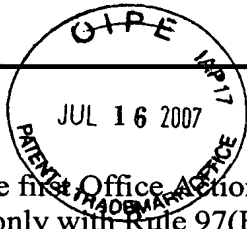
Respectfully submitted,

  
\_\_\_\_\_  
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Facsimile: 585-477-1148  
Enclosures

If the Examiner is unable to reach the Applicant(s) Attorney at the telephone number provided, the Examiner is requested to communicate with Eastman Kodak Company Patent Operations at (585) 477-4656.

FORM EKC-1449



If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee

Atty. Docket No.

H10230CFR

Customer No. 01333

Serial No.

10/615,089

Applicant:

Xin Jin, et al

Filing Date

08 July 2003

Group

1756

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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## FOREIGN PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO
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## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	Ralph K. Iler, "The Chemistry of Silica," pages 331, 337, A Wiley-Interscience Publication, John Wiley & Sons, New York, 1979
	Technical Bulletin Fine Particles, Number 11, Basic Characteristics of AEROSIL® Fumed Silica, available at Degussa.com
	Properties, Uses, Storage and Handling LUDOX® Colloidal Silica by Du Pont

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.